

Special Advertising Section

2009 INDEPENDENT SURVEY

TO FIND THE BEST IN  
CLIENT SATISFACTION

*Meet the Best*

Personal Wealth Managers  
in the Atlanta Area



# ANNOUNCING: Atlanta's 2009 FIVE STAR Wealth Managers.

We surveyed consumers, financial service professionals and our subscribers to find the best in client satisfaction wealth managers in the Atlanta area. Here they are.



Well over half of the consumer responses in the Atlanta area indicated it is difficult to find a wealth manager they trust and rely on.<sup>(1)</sup> Wealth managers, broadly defined, are those individuals who help you manage your financial world and/or implement aspects of your financial strategies. Common examples of wealth managers are financial advisors, life insurance agents, accountants, tax advisors, attorneys, etc.

With more than 15,000 wealth managers in the Atlanta area, how do you find someone who listens to you, represents your interests and operates with an emphasis on integrity and service? *Atlanta Magazine* can help. The magazine recently formed a partnership with Crescendo Business Services, an independent research firm, to find out which wealth managers successfully satisfied key client satisfaction criteria and overall scored the highest in client satisfaction.

## The Selection Process

In February, Crescendo surveyed, by mail and phone, 70,000 high-net-worth residents in the Atlanta area and subscribers of *Atlanta Magazine*. An additional 6,500 surveys were sent to financial services industry professionals.

On the surveys, recipients were asked to evaluate only wealth managers whom they knew through personal experience, and to evaluate them based upon nine criteria: customer service, integrity, knowledge/expertise, communication, value for fee charged, meeting of financial objectives, post-sale service, quality of recommendations and overall satisfaction.

Only original surveys—no copies—returned in their specially designed envelopes

were accepted as valid. By March, stacks of surveys had arrived and Crescendo began carefully scoring each wealth manager. Both positive and negative evaluations were included in the scoring. Only wealth managers with five years of experience in the financial services industry were considered.

Next, each wealth manager was reviewed for regulatory actions, civil judicial actions and customer complaints as reported by FINRA (the Financial Industry Regulatory Authority) and other regulatory agencies.

Then, before finalizing the list, wealth managers were reviewed by a blue-ribbon panel. The blue-ribbon panel was comprised of individuals from within the financial services industry. Although panelist comments were incorporated into the final score, safeguards were built into the review process to reduce the ability of panel members to influence the composition of the final list on the basis of company affiliation.

## Best in Client Satisfaction

The resulting list of 2009 FIVE STAR Wealth Managers is an elite group, representing less than 4 percent of the wealth managers in the Atlanta area. Only 579 of the top-scoring wealth managers made this year's list. To make the list more user-friendly, wealth managers have been grouped based upon their primary financial service. Each wealth manager has also listed up to three additional financial services that they provide their clients.

Although this list will certainly be a useful tool for anyone looking for help in managing their financial world or implementing aspects of their financial strategies, it should not be considered exhaustive. Undoubtedly, there are many other excellent wealth managers

who, for one reason or another, are not on this year's list.

## RESEARCH DECLARATIONS:

As with any research or recognition program, it is important that we provide you the following declarations:

- The 2009 FIVE STAR Wealth Managers do not pay a fee to be included in the research or the final list of FIVE STAR: Best in Client Satisfaction Wealth Managers.
- The overall evaluation score of a wealth manager reflects an average of all respondents and may not be representative of any one client's evaluation.
- The FIVE STAR Award is not indicative of the wealth managers' future performance.
- Wealth managers may or may not use discretion in their practice and therefore may not manage their client's assets.
- The inclusion of a wealth manager on the FIVE STAR Wealth Manager list should not be construed as an endorsement of the wealth manager by Crescendo Business Services or *Atlanta Magazine*.
- Working with a FIVE STAR Wealth Manager or any wealth manager is no guarantee as to future investment success nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by Crescendo in the future.
- The research process for the FIVE STAR: Best in Client Satisfaction Wealth Manager Program, managed by Quantitative Market Intelligence (QMI), incorporates a statistically valid sample in order to identify the wealth managers in the local market that score highest in client satisfaction. QMI does not include wealth managers on the list unless their score is statistically valid. At least fifty percent of the wealth managers in the market have a statistically valid score.
- For more information on the FIVE STAR Award and the research/selection methodology, go to: [fivestarpromotional.com/wmresearch](http://fivestarpromotional.com/wmresearch).

<sup>(1)</sup> 2009 Consumer Survey, Quantitative Market Intelligence

## FIVE STAR WEALTH MANAGERS



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ACCOUNTING	ESTATE PLANNING	ESTATE PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
<b>ACCOUNTING</b>	<b>ESTATE PLANNING</b>	<b>Jeff J. Scroggin</b> <b>Scroggin &amp; Company</b> <b>CG</b>	Cromwell Baun UBS Financial Services BK, IV	Kary Brownlee Morgan Stanley Smith Barney IN, IV
Nick Chafin Tripp Chafin & Causey BP, FP, TX	Marianna Batie Sutherland BP, CG, WP	Brian Smooke Attorney At Law TX, TS, WP	Mike Bean Key Planning Financial Services IV, TX	Randy Brunson Centurion Advisory Group BP, EP, IV
Lawrence Clayton Clayton Paulk & Associates FP, IN, IV	Mark L. Burden Northwestern Mutual Financial Network IN	Tony Turner Cohen Pollock Merlin & Small BP, CG, WP	Scott Beaudin Pathway Financial Advisors CG, EP, IV	Tula Burch Principal Financial EP, IN, IV
Peter Maher Apogee Family Office BP, EP, FP	<b>Peter Casey</b> <b>Law Office of Peter</b> <b>Casey</b>	J Michael Upton Attorney & Counselor at Law CG, TS, WP	Craig Belisle GV Financial Advisors CG, EP, IV	<b>R. Todd Burkhalter</b> <b>Catalyst Wealth</b> <b>Management</b> <b>AC, IN, IV</b>
Sharon McKelvey McKelvey & Russell BP, TX	Julie Childs McLain Merritt BP, WP	William G. Witcher, Jr. William G. Witcher, Jr. Attorney at Law BP, CG, WP	Jamie Benedetti Ameriprise Financial BP, EP, IV	James "Chip" Burnham Morgan Keegan BP, EP, IV
David D. Mobley, Jr. Mobley & Company BP, EP, TX	Dale Dewberry Bach Dewberry & Hipes BP	<b>FINANCIAL PLANNING</b>	Philip Benedict Benedict Associates EP, IV, TS	Frank Butterfield Homrich Berg CG, EP, IV
Howard Neiman Babush Neiman Kornman & Johnson BP, EP, TX	Arnold Feinstein Alston & Bird	Gary Abshier Creative Financial Group IV	Andy Berg Homrich Berg EP, IV, TX	J. Preston Byers II ClearBridge Wealth Management BP, EP, IV
Marvin Pechter Pechter & Associates BP, EP, TX	George Fox The Fox Law Firm CG, TS, WP	Larry Adams Raymond James EP, IN, IV	Jeff Bernier TandemGrowth Financial Advisors EP, IV, TX	Jonathan M. Byrd UBS Financial Services EP, IV, TS
Jay Starkman Jay Starkman TX	Linda Karlo Lane & Karlo BP, CG, WP	<b>Don Akridge</b> <b>Citadel Financial</b> <b>Planning &amp; Investments</b> <b>BP, IV, TX</b>	Nicholas Bhandari Tegra EP, IN, IV	Mark Byrnside Ameriprise Financial BP, IN, IV
<b>BANKING</b>	Roger Kirschenbaum Wagner Johnson & Rosenthal BP, CG, WP	Angelo Alleca Summit Wealth Management	Wesley Bigler Longview Wealth Management BP, EP, IV	Scarlott L. Cagle Summit Wealth Management EP, IV, TX
Charlie Crawford Private Bank of Buckhead	<b>Matthew Lipscomb</b> <b>Ashford Advisors/Park</b> <b>Avenue Securities</b> <b>BP, IN, IV</b>	<b>Dean Andersen</b> <b>Wells Fargo Advisors,</b> <b>LLC</b> <b>EP, IV</b>	Brian Blough Edward Jones EP, IN, IV	Charles Caldwell CRC Financial IN, IV, LC
Randy Harris The Privatebank	George Menden Menden Frieman & Zitron BP, TS, WP	<b>Charles Anderson</b> <b>Morgan Stanley Smith</b> <b>Barney</b> <b>EP, IV, TS</b>	Jacob Boggs Wells Fargo Advisors, LLC	Clara Campbell PFS Investments IN, IV, LC
Stan Kryder Midtown Bank & Trust Company	James Morgan Smith Gambrell & Russell BP, TX, TS	Terry Anderson Delta Community Investment and Insurance Service IN, IV, TS	David Boone Atlanta Wealth Management CG, IV	Sean Carroll Ameriprise Financial EP, IN, IV
<b>Michael Mock</b> <b>The Brand Banking</b> <b>BP, FP</b>	Charles Nida Peachtree Planning BP, CG, FP	Robert Atkinson PFM Capital Management	Lisa Boone Morgan Stanley Smith Barney EP, IV, TS	Philip Cave Wilmington Trust EP, IV, TS
<b>BUSINESS PLANNING</b>	James Ostenson James E. Ostenson Attorney & Counselor At Law CG, WP	Shane Austin Tegra Financial Partners BP, EP, IV	Erin Botsford The Botsford Group CG, EP, IV	<b>Edward J. Cimino</b> <b>National Benefit</b> <b>Services</b> <b>EP, IN, LC</b>
Emmett H. Cloud Morgan Stanley Smith Barney EP, FP, IV	Robert Rollauer, Jr. Lincoln Financial Advisors Corporation BP, FP, IN	Parks W. Avery, Jr. Welden Financial Services EP, IV	Montague Boyd UBS Financial Services	Russell Clarke Ameriprise Financial BP, IN, IV
Carol I. Dubberly Carol I. Dubberly AC, TX	C. Murray Saylor, Jr. The Saylor Law Firm BP, FP, TX	<b>Dr. Lamar Barden</b> <b>Peachtree Planning</b> <b>BP, IN, IV</b>	A. Sidney Browning National Financial Services Group BP, EP, IV	<b>Jerry Clegg</b> <b>Right Financial</b> <b>EP, IN, IV</b>
Robert Evelyn Morgan Stanley Smith Barney EP, IV				
Ed Fortier White Horse Advisors CG, EP, IV				

## FIVE STAR WEALTH MANAGERS



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FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
Bill Cleveland Preston & Cleveland BP, EP, IV	Evelyn Dinkins Ameriprise Financial EP, IN, IV	George David Fritts Ameriprise Financial EP, IV, TS	Terry Hartigan Ashwood Advisors EP, IV	Keith Johnson National Financial Services Group IV
Darrin Cohen Resource Horizons Group EP, IN, IV	Daniel Dubay PPA Advisors BP, EP, IV	Susan Ganser Longview Wealth Management EP, IV, LC	Devon Haywood Capstone Financial Partners EP, IN, IV	Dr. Barry Kaplan Cambridge Southern Financial Advisors CG, EP, IV
<b>Jack Cohen</b> <b>Robert W Baird</b> <b>EP, IV, LC</b>	Michelle Duffy Ameriprise Financial IN, IV, LC	Floyd Gantt Edward Jones IN, IV, LC	Robert Heisterberg UBS Financial Services IV	Robert Keller Reliance Securities EP, IN, IV
Jeff Cohen Nova Wealth Management Group CG, EP, IV	<b>Ray Dunlap</b> <b>Dunlap Wealth</b> <b>Strategies Group</b> <b>EP, IN, IV</b>	David Gaynes Gaynes Financial Services BP, IN, IV	Gene Hester Ameriprise Financial EP, IN, IV	<b>Paul Kiker</b> <b>Kiker Investment</b> <b>Services</b> <b>CG, IV</b>
Seth Cohn Capstone Financial Partners EP, IN, IV	Suzanne Durbin GV Financial Advisors EP, IN, IV	Kelly Geiken Edward Jones EP, IN, IV	Patrick Hinchey Hinchey & Associates Financial Services EP, IN, IV	T. Nelson Kikly Charles Schwab
Brian Connerat Waddell & Reed EP, IN, IV	James Dykes Peachtree Planning IN, IV, LC	<b>Timothy Gelinias</b> <b>Senior Financial</b> <b>Planning</b> <b>IN, IV, LC</b>	Michael L. Hines Raymond James EP, IV	Mark Kirkley Kirkley Investment Group IV
Clayton Constant Merrill Lynch BK, IV, TS	<b>Kate Emling</b> <b>Householder</b> <b>EP, IN, IV</b>	David Geller GV Financial Advisors BP, EP, IV	<b>Dennis Hinton</b> <b>Resource Asset</b> <b>Management</b> <b>BP, EP, IV</b>	Patricia Koehn Koehn Financial Group EP, IV, LC
Bo Cook Morgan Stanley Smith Barney EP, IV	Grant Fanning SunTrust Investment Services EP, IN, IV	<b>Clint Gharib</b> <b>J.P. Turner &amp; Company</b> <b>EP, IN, IV</b>	Paul Horning Morgan Stanley Smith Barney CG, EP, IV	Steve Komisar Valic IN, IV, LC
Charles Costa PPA Investments BP, EP, IV	<b>Amanda Fischer</b> <b>Oster &amp; Fischer</b> <b>EP, IV, LC</b>	Monica Giuseffi Edward Jones IV	John Houk JPH Advisory Group BP, EP, IV	Irene Kramer Raymond James Financial/ Creative Financial Team IV
Russ Crosson Ronald Blue & Company CG, EP, IV	David S. Fisher F & D Advisors EP, IN, IV	Fred Glass, Jr. Glass Financial Group EP, IV, LC	Matthew Hudgins Mosaic Wealth Management EP, IN, TS	Linda Kuryloski Edward Jones IN, IV, LC
Helga Cuthbert Cuthbert Financial Guidance	Kyle Flynn Financial Discovery Group EP, IN, IV	Wesley Godwin PPA Investments EP, IV	Rick Hutchins Sherrill Hutchins IV	<b>William G. Lako, Jr.</b> <b>G.W. Hennsler ALps</b> <b>Distributors</b> <b>EP, IN, IV</b>
Paul Damm Wilmington Trust EP, IV, TS	Lewis Forbes, Jr. Ameriprise Financial EP, IV, LC	Charles Goldberg Merrill Lynch EP, IV, LC	Lenard Iglar Morgan Keegan EP, IN, IV	<b>Chuck Lanford</b> <b>Raymond James</b> <b>IN, IV, LC</b>
Brian David UBS Financial Services IV	Emma Foulkes National Financial Services Group IN, IV, TS	Margaret M. Graff Ameriprise Financial CG, EP, IV	Dick Ingwersen Gifford Hillegass & Ingwersen BP, EP, TX	Paul R. Lang Edward Jones EP, IV, TS
R. Jefferson Davis, Jr. Apogee Family Office BP, EP, TX	Steve Frederick Edward Jones IN, IV, LC	Charles Gray GV Financial Advisors EP, IN, IV	Scott Jeffries Northwestern Mutual EP, IN	Emmett R. Lange BB & T Wealth Managment BP, EP, IV
Charles DeNormandie North Point Wealth Management CG, EP, IV	<b>Mark Freret</b> <b>Covered Bridge Financial</b>	John Grillo Grillo & Associates IV	Theodore M. Jenkin oXYGen Financial IN, IV, TX	Robert "Buzz" Law Creative Financial Group BP, EP, IV
Patrick Desamours Edward Jones	Valerie Fricks Capstone Financial Partners EP, IN, IV	<b>Richard Grodzicki</b> <b>UBS Financial Services</b> <b>BK, BP, EP</b>	Brian Jenkins UBS Financial Services BP, EP, IV	<b>Karen Lee</b> <b>Karen Lee &amp; Associates</b> <b>IN, IV, LC</b>
Mark DiGiovanni Marathon Financial Strategies EP, IN, IV	Kristen Fricks-Roman Morgan Stanley Smith Barney EP, IN, IV	William Hammond Hammond Investment Planning BP, EP, IV		Kirk L. Lee Merrill Lynch Global Wealth Managment BP, IN, IV
		W. Louis Harris Harris Consulting IV		



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FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
Richard Lenhardt, Jr. Financial Partners of Gwinnett IN, IV, LC	<b>Andy McClung</b> <b>Andy McClung</b> <b>IV</b> Thomas McDavid Merrill Lynch EP Kevin F. McGrath UBS Financial Services EP, IN, IV Alan McKnight Kays Financial Advisory Corporation IN, IV J. Kevin Meaders Magellan Planning Group EP, IV, WP Amy Merrill Windham Brannon Financial Group CG, EP, IV Ernest Moncrieff III UBS Financial Services EP, IV, TS Debbie Montgomery DM Financial Planning EP, IN, IV Joe Mueller National Financial Services Group CG, IN, IV Barry Munro Munro Legacy Planners EP, IV Bobbie Munroe Fraser Financial CG, EP, IV	Casey Patrick Patrick Financial Group EP, IN, IV Donald Patrick Integrated Financial Group EP, IV, LC Patrick Paxton Investment Planning Advisors IN, IV, LC Travis Payne Capstone Financial Partners BP, IN, IV Phillip Pennartz Vining Financial Service IN, IV, LC Virginia Persons Morgan Stanley Smith Barney EP, IN, IV Mary Peterson Thrivent Financial CG, IV, LC	<b>Karen D. Rinehart</b> <b>The Henssler Financial</b> <b>Group</b> <b>BP, IV, LC</b> Derrick Rivera Ameriprise Financial BP, IN, IV James Roberts Merrill Lynch EP, IV, TS Craig Robson Merrill Lynch BP, EP, IV Joe Rollins Rollins Financial Counseling AC, IV, TX F. Garner Roof Prudential EP, IN, IV Michael Ross The Wealth Enhancement Group BP, EP, IV Harold Bradley Rouse Chatuge Financial Solutions IN, IV James Ruff Homrich & Berg IV Robert Rumley Merrill Lynch EP, IV Fernando James Salgado, Jr. UBS Financial Services EP, IV Michael Sanders UBS Financial Services CG, IV Jonathan Sard Sard Wealth Management Group EP, IN, IV Gary Schantz Legacy Wealth Mgt. Group IV Lisa Schiffer Tegra EP, IN, IV Richard Schneiderman Ameriprise Financial IN, IV, LC Jeffrey Schultz Morgan Keegan	Robby Schultz Rollins Financial Counseling AC, CG, IV Al Searcy Searcy Weems-Scott & Cleare CG, IN, LC Craig Seligman Proactive Wealth Strategies BP, EP, IV Marta Shen Spring Street Financial BP, IV, TX Tom Sherrill Sherrill & Hutchins Financial Advisory IV Peter Siegel Morgan Stanley Smith Barney IV Robert Signaigo Robert L. Signaigo EP, IN, LC Dunning Silliman Morgan Stanley Smith Barney IV James "Cody" Sims Ameriprise Financial BP, EP, IV Michael Skrynecki Morgan Stanley Smith Barney EP, IN, IV Anthony Smith Anthony Smith Advisors EP, IV, TX <b>Michael Smith</b> <b>RTD Financial Advisors</b> <b>BP, EP, IV</b> Felicia Speetjens SunTrust Bank BK, EP, IV William Stanton LPL Financial Jeffrey Stevenson Morgan Stanley Smith Barney EP, IV <b>Skip Stone</b> <b>Morgan Stanley Smith</b> <b>Barney</b>
<b>Scott Levy</b> <b>Capstone Financial</b> <b>Partners</b> <b>EP, IN, IV</b> Marc Lewyn GV Financial Advisors CG, EP, IV Michael Lipsey Long View Wealth Management IN, IV, LC <b>Richard Lombardi</b> <b>Integrated Wealth</b> <b>Strategies</b> <b>EP, IN, IV</b> Stanley Lowenstein Morgan Stanley Smith Barney EP, IN, IV Owen Malcolm Sanders Financial Management EP, IN, IV Marvin Mangham Atlanta Planning Group IN, IV, LC Kari March ROKA Wealth Strategists EP, IV, TX <b>Scott Martin</b> <b>G.W. Henssler &amp;</b> <b>Associates</b> <b>BP, EP, IV</b> Larry Mashburn PPA Investments CG, EP, IV Paul Massa Invest Financial Corporation IN, IV, LC Kurt Mattson Northwestern Mutual BP, IN, IV Maria McCann Morgan Stanley Smith Barney EP, IN, IV Donald McCarty Financial Decision Partners IV	<b>Elizabeth Murphy</b> <b>The Henssler Financial</b> <b>Group</b> <b>BP, IV, TS</b> Stacy Nickens American Tax & Financial Group EP, IV, TX Erick Olson Olson Associates CG, EP, IV <b>Stephan Oster</b> <b>Oster &amp; Fischer</b> <b>EP, IV</b> Daniel Owens Multi-Financial Securities Corporation BP, EP, IV Vijay Patel Merrill Lynch EP, IN, IV	<b>Cindi Porter</b> <b>Navigator Financial</b> <b>Planning Services</b> <b>EP, IN, IV</b> Sandra Porter Sandra Porter IV Warren Prehmus Prehmus Financial EP, IN, IV Jeffrey Purdon Robert W. Baird & Company CG, EP, IV James David Ramey Wells Fargo Advisors, LLC EP, IV, TS <b>Angela Rehkop</b> <b>National Financial</b> <b>Services Group</b> <b>IN, IV, LC</b> Patrick Renn Raymond James BP, EP, IV Chris B. Reynolds Ameriprise Financial Services EP, IV S. Neal Rhoney Ameriprise Financial IN, IV, LC		

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FINANCIAL PLANNING	FINANCIAL PLANNING	INSURANCE	INVESTMENTS	INVESTMENTS
<b>Jeff Taylor</b> <b>Crescent Wealth Management</b> <b>EP, IN, IV</b>  Stephen Teague Edward Jones CG, EP, IN  Donald Tessmer Tax & Financial Management IN, IV, TX  <b>Jennifer Thomas</b> <b>The Henssler Financial Group</b> <b>BP, EP, IV</b>  Joe Thomas SunTrust Bank BK, IV  Ken Thomas Capital Planning & Investments EP, IN, IV  <b>Thomas Tillery</b> <b>Paraklete Financial</b> <b>BP, EP, TX</b>  Sean Todd Estate Management Counselors IV, TX, WP  Tom Tolleson Wellspring Financial EP, IN, IV  Buddy Turner Turner & Associates  James Underwood Tarpley & Underwood Financial Advisors CG, EP, IV  Ed Unger ProEquities EP, IN, IV  Scott L. Varon Capstone Financial Partners BP, EP, IV  <b>Karen Vining</b> <b>Vining Financial Service</b> <b>EP, IN, IV</b>  <b>Lawrence W. Waller II</b> <b>HLM Financial Group</b> <b>EP, IN, IV</b>  Connie Weems-Scott Searcy, Weems-Scott & Cleare EP, IN, IV	Marcus Welborn Ameriprise Financial BP, IN, IV  Laura Wellon UBS Financial Services IV, TS  Richard A. White Richard A. White AC, IV, TX  Graham Wickham Wickham Financial Group IN, IV  Rachael Wilder Eagle Strategies IN, IV, LC  Steven Wisniewski Georgia Academy Of Finance EP, IV, LC  Michael Woods Capstone Financial Management BP, IN, IV  Vivian Wright Common Sense Solutions AC, TS  <b>Tim Wyrobek</b> <b>Crescent Wealth Management</b> <b>CG, EP, IV</b>  <b>Ty Young</b> <b>Ty Young and Associates</b> <b>IN, IV, LC</b>  David Zeckets Ameriprise Financial IN, IV, TX  Charles Zimmerman Signature FD EP, IN, IV  <b>INSURANCE</b>  W. Kirby Arnall Matrix Insurance Agency  Ralph C. Boardman, Jr. Tax & Financial Strategies FP, IV, TX  <b>Daniel Boaz</b> <b>The Healthlife Group</b> <b>LC</b>  Gary Bottoms The Bottoms Group BP, EP, LC  Todd Gordon The Benefits Group BP, LC	Thomas Hebrank Advanced Planning Solutions and the Center for Lifelong Planning LC  Jack Lenenberg Balanced Insurance Group FP, IV, LC  Eric Levine Snellings Walters Insurance Agency  <b>Chris Means</b> <b>Pinnacle Planning</b> <b>BP, EP, LC</b>  John Moore John Moore Insurance  <b>Bob Norton</b> <b>Henssler Insurance &amp; Risk Management</b> <b>LC</b>  Robert A. Sheats Ashford Advisors BP, IV  Wanda Smith New York Life Securities EP, FP, LC  Joel Weitnauer John Hancock EP, LC  Andrew Williams 3P Enterprises EP, IV, LC  Bryan D. Wulz New York Life Insurance Company BP, EP, LC  <b>INVESTMENTS</b>  Gary Alexander Wells Fargo Advisors, LLC  Brad Alford Alpha Capital Management  Gary Alpert Peachtree Capital FP, IN, LC  Todd Altizer Edward Jones EP, IN, LC  David Amar JPMorgan BK, EP, TS  Bjorn Andersen Morgan Keegan EP, FP	Seth Asher RBC Capital EP, FP, TS  Jay Bailey Citadel Wealth Management EP, FP, IN  Gena Barbato Morgan Stanley Smith Barney EP, FP, LC  Richard Battle Morgan Stanley Smith Barney CG, EP, FP  Jeffrey A. Baumert Advisor Financial Services EP, FP  Richard Bean CornerCap Investment Counsel FP  George A. Bird, Jr. Morgan Stanley Smith Barney EP, FP  John Bolen SunTrust Investment Services FP  Carla Britton Merrill Lynch EP  <b>Gary S. Brochin</b> <b>Dominick &amp; Dominick</b> <b>EP, FP, TS</b>  <b>Russ Broda</b> <b>Wells Fargo Advisors, LLC</b> <b>EP, FP</b>  Steven Buckner Merrill Lynch EP, FP, TS  <b>Sharon Budnik</b> <b>Carlyle Wealth Management</b> <b>EP, FP, IN</b>  <b>Mark Buffington</b> <b>Buckhead Investment Partners</b>  <b>Wylie Burgess</b> <b>Bob Gordon &amp; Company</b>  Mike Butts Emory Alliance Credit Union FP, IN, LC	Jack J. Calhoun Capital Directions CG, EP, FP  Richard Cardin Wells Fargo Advisors, LLC EP, FP  Bill Carnes Morgan Keegan FP  Christopher Castellaw UBS Financial Services EP, FP  T. Ned Castleberry Edward Jones FP, IN, LC  David Chamblee Ameriprise Financial EP, FP, IN  Bill Chandler Edward Jones FP, IN, TS  Douglas Charles Morgan Stanley Smith Barney FP  T.C. Cheoun Wells Fargo Advisors, LLC  Raj Chokshi Redwood Wealth Management FP, IN, TX  <b>Krista Clarke</b> <b>Sterne Agee &amp; Leach</b> <b>EP, FP, IN</b>  Alan Cole TIAA-CREF FP, IN, TS  <b>James Compton</b> <b>Morgan Stanley Smith Barney</b> <b>IN</b>  Corbin Cook Lighthouse Financial Partners EP, FP, TS  Dave Cook Edward Jones IN, LC  Diane Cook SunTrust Investment Services EP, FP, IN  <b>Robert Cook</b> <b>Edward Jones</b> <b>EP, FP, IN</b>

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INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS
G. Andrew Crews Northern Trust FP, TS	Nigel Fleming UBS Financial Services FP	Anthony Guinta Homrich Berg CG, EP, FP	Robert Hockett Cambridge Southern Financial Advisors BP, FP	Thomas Jones UBS Financial Services FP
William Curtis Merrill Lynch CG, EP, FP	Kenneth Foster Investment Strategies EP, FP, IN	Stan Guth MetLife IN, LC	Ed Hoosh Wells Fargo Advisors, LLC	<b>Mark Kantor</b> <b>Morgan Stanley Smith Barney</b> <b>FP</b>
Marc Daner Wells Fargo Advisors, LLC EP, FP, IN	William Fowler Morgan Keegan BK, FP, TS	Richard Hamrick UBS Financial Services BK, FP, IN	Junko Horvath M V Financial Group CG, EP, FP	Edward Katz Wells Fargo Advisors, LLC FP
Chris Dardaman Brightworth EP, FP, TX	Bob Foy Professional Capital Management	Kristine Hanchar Charles Schwab BK	P.J. Younglove Hovey Morgan Stanley Smith Barney CG, EP, FP	Scott Kays Kays Financial Advisory Corporation EP, FP
Ragan E. Defreese UBS Financial Services EP, FP, IN	Jean O'Brien Frank Wells Fargo Advisors, LLC	Anno Hardage CornerCap Investment Counsel CG, FP	John Howard Resource Planning Group BP, EP, FP	<b>Scott L. Keller</b> <b>The Henssler Financial Group</b> <b>BP, EP, FP</b>
William Denton Merrill Lynch BK, CG, TX	Michael Friedman UBS Financial Services EP, FP, IN	Jack Harmon Raymond James FP	<b>Donna Howell</b> <b>Carnegie Wealth Strategies</b> <b>EP, FP, IN</b>	<b>Warren Kennett</b> <b>Wells Fargo Advisors, LLC</b>
Bruce Dickerson Edward Jones EP, FP, LC	Adam Fuller Homrich Berg EP, FP, TX	Roger Harmon Sherrill & Hutchins Financial Advisory FP	Brian C. Huber Wells Fargo Advisors, LLC EP, FP, TS	James C. Kincaid, Jr. Fifth Third Securities/Fifth Third Private Bank BK, EP, FP
Grey M. Duddleston UBS Financial Services EP, FP, TS	Christopher Gabriel UBS Financial Services CG, FP, TS	<b>Bill Harris</b> <b>Buckhead Investment Partners</b> <b>FP</b>	Pace T. Huff Merrill Lynch BP, EP, TS	Curt Klein Capital Investment Advisors FP
Rob Eichner Raymond James BP, EP, FP	Nolan Giddens UBS Financial Services CG, EP, FP	Steven Hayden Atlanta Planning Group IN, LC	Ronald Hughes Merrill Lynch CG, EP, FP	William Kring Kring Financial Management
John Embleton Merrill Lynch EP, FP	<b>Mako Goss</b> <b>American Wealth Management</b> <b>EP, FP, IN</b>	Marc Heilweil Spectrum Advisory Services	Feleatha Hyde SunTrust Bank/Suntrust Services BK, FP, IN	<b>Tom Kudarauskas</b> <b>CKM Capital Group</b> <b>EP, FP, IN</b>
Timothy C. Escott Maple Capital Mgmt EP	Alan Gotthardt Brightworth EP, FP, TX	<b>Rick Henderson</b> <b>Cornerstone Financial</b> <b>BP, EP, FP</b>	Jeff Hylton Merrill Lynch BK, FP	Robert Kurtz Merrill Lynch BP, EP, TS
<b>Kimberly Evans</b> <b>Wells Fargo Advisors, LLC</b> <b>FP, IN, LC</b>	Sammy Grant SG Financial Advisors CG, EP, FP	<b>Gene W. Henssler</b> <b>The Henssler Financial Group</b> <b>BP, EP, FP</b>	Constance Jacobson Wells Fargo Advisors, LLC	David Kusiel UBS Financial Services CG, EP, FP
Malford Fagan Morgan Keegan BK, FP	Ellis Green Raymond James FP, TS	Julius Hicks Atlanta Planning Group FP, IN	Bobby Jamison Diversified Financial Planning FP	Damon Limberis Merrill Lynch CG, EP, FP
William Fennell Ameriprise Financial BP, FP, IN	Roger Green Green Financial Resources FP	Todd Hicks Allegiance Retirement Solutions EP, FP	Ben Joel Morgan Stanley Smith Barney FP, IN	Fredrick Livingston Planmark Capital Management BP, EP, FP
Robert Fezza Odyssey Personal Financial Advisors EP, FP, LC	Leeann Gregg Ameriprise Financial EP, FP, IN	Adam Hill American Wealth Management FP, IN	Jason Joffe Morgan Stanley Smith Barney BK, EP, FP	T. Ray Logan Morgan Stanley Smith Barney BP, EP, FP
John Fisher UBS Financial Services	Richard Groff II Charles Schwab FP	Andrew Hill SunTrust Financial BK, FP, IN	Jospeh Johnson Morgan Keegan FP	<b>Randall P. MacNeill</b> <b>Morgan Keegan</b> <b>FP</b>
Evan Fishman UBS Financial Services FP, IN, TS	Molly Guenther A. Montag & Associates FP		Stephen Johnston Morgan Stanley Smith Barney FP	
Chad Fleming Merrill Lynch FP	R. Alan Guerry Morgan Stanley Smith Barney EP, FP, IN			

## FIVE STAR WEALTH MANAGERS



## BEST IN CLIENT SATISFACTION

## INDEX OF WEALTH MANAGERS

List compiled by Crescendo Business Services. Names in boldface also appear in the profiles that follow. Wealth Manager additional financial services: AC=Accounting; BK=Banking; BP=Business Planning; CG=Charitable Giving; EP=Estate Planning; FP=Financial Planning; IN=Insurance; IV=Investments; LC=Long-term Care; TS=Trust Services; TX=Taxation; WP=Will Preparation

INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS
E. Matthew Mains UBS Financial Services EP, FP, LC	Michael Monroe U.S. Planning Group EP	David Palmer Wells Fargo Advisors, LLC EP, FP, TS	<b>Julie Rea</b> <b>Edward Jones</b> <b>IN, LC</b>	F. Mackey Schneider Northwestern Mutual Wealth Management EP, FP, IN
Mike Maltby Morgan Stanley Smith Barney/The Maltby Group BP, EP, FP	John Montag A. Montag & Associates CG, EP, FP	Sapan Parekh Merrill Lynch BP, EP, FP	Michael Reiner Capital Investment Advisors EP, FP, TX	William Schoeffler Wells Fargo Advisors, LLC
Robert Margolin RBC Capital CG	Hugh Moody Merrill Lynch	Lynda Parker Advanced Financial Solutions EP, IN, LC	Greg Rose Morgan Stanley Smith Barney BP, EP, FP	Richard Schubert Morgan Keegan
J. Scott Masters UBS Financial Services FP, IN	Thomas Moore Capital Investment Advisors BP, EP, FP	<b>Theodore L. Parrish</b> <b>The Henssler Financial</b> <b>Group</b>	Douglas Ross Morgan Stanley Smith Barney FP	Jonathan Seidel UBS Financial Services FP, TS
Charles May Morgan Stanley Smith Barney FP	Wayne Moss Homrich Berg FP	<b>Michael Petros</b> <b>Robert W. Baird</b> <b>EP, FP, IN</b>	Judy Ross Wells Fargo Advisors, LLC CG	Roger Shaffer SunTrust Investment Services EP, FP, IN
James McDaniel Morgan Stanley Smith Barney CG, EP, FP	<b>Guy Mower</b> <b>CKM Capital Group</b>	Britain Pierce SunTrust Investment Services BK, FP, IN	Blair Rothstein Register Financial Associates EP, FP, TS	Stanley Sharp Merrill Lynch BK, FP
Kay McFarlin Tiaa-Cref FP, IN, TS	<b>Anthony Mowrer</b> <b>J.P. Turner &amp; Company</b> <b>EP, FP</b>	David Polstra Brightworth Private Wealth Council EP, FP, TX	Jay Rottner Morgan Keegan EP, FP, TS	Todd Sheets SignatureFD EP, FP, IN
<b>John McKinney</b> <b>Mckinney Asset</b> <b>Management</b> <b>EP, FP</b>	<b>William R. Mumpower</b> <b>Ashford Advisors</b> <b>BP, EP, FP</b>	Kristopher Pope LPL Financial FP	Collin Royster Mid South BK, FP	Roger Sheffield Sheffield Investment Management
Hank McLarty Gratus Capital Management EP, FP, TS	Les Netter Morgan Stanley Smith Barney BK, FP, IN	Robert Prater, Jr. UBS Financial Services BK, FP, TS	Nicole Rudd Merrill Lynch BK, CG, FP	Steve Siders Odyssey Personal Financial Advisors EP, FP, IN
Scott McQuilken Merrill Lynch BK, EP, FP	Matthew Norton Busch Slipakoff & Schuh	<b>Blair Pritchett</b> <b>Oppenheimer and</b> <b>Company</b> <b>BP, FP</b>	David A. Saltzman UBS Financial Services CG, FP, TS	Mike Silver Ameriprise Financial FP, IN, LC
Shawn Meade Redwood Wealth Management FP, IN, TX	Derek Nowatzki Edward Jones FP, IN, LC	Travis Propst Merrill Lynch BK, EP, TS	Richard Sampley Morgan Keegan EP, FP, IN	T. Marion Slaton Colony Capital Management
<b>Steve Michael</b> <b>G.W. Henssler &amp;</b> <b>Associates</b> <b>BP, FP</b>	David O'Brien Wells Fargo Advisors, LLC BK, EP, FP	David Pursell Wells Fargo Advisors, LLC EP, FP	Emily Sanders Sanders Financial Management BP, EP, FP	Chris Smith UBS Financial Services FP
Ron Miller Morgan Keegan EP, FP	<b>Martha Fortune O'Brien</b> <b>Edward Jones</b> <b>EP, FP, TS</b>	George Rall, Jr. Raymond James EP, FP, IN	Reneigh Satterfield Edward Jones EP, FP, TS	<b>Douglas Smith</b> <b>Wells Fargo Advisors,</b> <b>LLC</b>
Peter Miralles Atlanta Wealth Consultants FP	Larren Odum Redwood Wealth Management FP, IN, TX	Brian E. Ranck BNY Mellon Wealth Management BK, EP, TS	Robert Scarborough Morgan Stanley Smith Barney FP, IN	<b>R. Scott Smith</b> <b>Morgan Stanley Smith</b> <b>Barney</b> <b>EP, FP, TS</b>
Joseph Modica UBS Financial Services BP, TS	John Oglesby Northern Trust CG, EP, FP	William Rau Neuberger Berman FP, TS	Laura Schilling Financial Innovations FP, LC, WP	<b>Susan Lundeen Smith</b> <b>Morgan Stanley Smith</b> <b>Barney</b> <b>BK, EP, FP</b>
Michael Mohr BNY Mellon Wealth Management BK, EP, TS	Ken OLeary Edward Jones IN, LC	Tripp Rawls Merrill Lynch EP, FP, IN	Josh Schlieman Sullivan & Schlieman Wealth Management EP, FP, IN	Harvey Snider Merrill Lynch CG, IN, TS
	Lawrence Olson Olson Associates	David Raymon Morgan Stanley Smith Barney FP, IN, LC		<b>Bill Spalding</b> <b>Bill Spalding Financial</b> <b>Services</b>
	Ray Padron Brightworth EP, FP, TX			Marsha Spear Edward Jones IN, LC
	Richard Paganelli UBS Financial Services EP, FP, IN			



## FIVE STAR WEALTH MANAGERS



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INVESTMENTS	INVESTMENTS	INVESTMENTS	TAXATION	TAXATION
Mr. Lane Steinberger RedWood Wealth Management FP	John Wallace, Jr. JP Morgan BP, CG, FP	Thomas Windler Morgan Stanley Smith Barney	Robert Greenberger Habif, Arogeti & Wynne EP, FP	Roger Santi Santi & Associates AC, BP
Brady Stoor Charles Schwab BK, EP, FP	Mark Walter Wells Fargo Advisors, LLC EP	Michael Witt Wells Fargo Advisors, LLC FP	Debbie Griffiths Debbie Griffith AC, BP	Houston Smith Smith and Raab AC, FP
Ronald Swickow D'Huyvetter And Swickow FP, IN, TX	<b>Jesse Walton, Jr.</b> <b>Morgan Stanley Smith Barney FP, IN, TS</b>	Carla Wright UBS Financial Services EP, FP, IN	J. Michael Hendricks Frazier & Deeter AC, BP, EP	Kenneth Thrasher Bennett Thrasher EP, FP, IV
James Tally II U.S. Trust, Bank Of America Private Wealth Management BK, FP, TS	Melanie Walton Morgan Stanley Smith Barney FP	Frederick Wright Smith & Howard Wealth Management EP, FP	<b>Pat T. Henssler</b> <b>The Henssler Financial Group AC</b>	Shirley Osborne Vanderbilt The Osborne CPA Firm AC
<b>Diane Taylor</b> <b>Wells Fargo Advisors, LLC</b>	Mary Moncure Watson Morgan Stanley Smith Barney	<b>Randy Yeomans</b> <b>Your Retirement Coach EP, FP, LC</b>	Vivian Hoard Taylor English Duma	Mark Wyssbrod Pro@active AC, BP, EP
Larry Taylor UBS Financial Services BK, EP, FP	DeWitt Weaver III Weaver Capital Management CG, EP, FP	Lee Yi Wells Fargo Advisors, LLC	<b>Connie Holt</b> <b>The Henssler Financial Group AC, BP</b>	<b>TRUST SERVICES</b> Meredith Levens SunTrust Bank
Shannon Thomason Morgan Stanley Smith Barney EP, FP, IN	James Welden Welden Financial Services EP, FP, IN	Jason Young Berstein Global Wealth Management EP, FP	Larry Howard McElderry & Associates EP, TS	<b>WILL PREPARATION</b> Aleece Ackerman Shafritz & Dean EP, FP
Chuck Tobias Morgan Stanley Smith Barney FP, TS	Ron White UBS Financial Services	Andrew Zager UBS Financial Services FP	Lou Jackson The Jackson Group AC, BP, EP	Bruce Gaynes Kitchens Kelly Gaynes BP, EP, TX
Stephen Trammell Wells Fargo Advisors, LLC	Warren Wick Lesesne Capital Management EP, FP, TX	Michael Zowine Morgan Stanley Smith Barney FP	Stuart Jackson The Jackson Group AC, BP, EP	Scott Murray Scott Murray & Associates BP, EP, TS
Martin Truax Morgan Keegan EP, FP, IN	Jeffrey Wigbels Morgan Stanley Smith Barney BP, EP, FP	<b>TAXATION</b> Kevin Armbruster Cushing Morris Armbruster & Montgomery BP, WP	<b>Joe W. Kilpatrick</b> <b>Joe Kilpatrick AC, CG, EP</b>	Robert Sneed, Jr. McConnell & Sneed BP, EP, TS
Carl Trulock III Wells Fargo Advisors, LLC FP, IN	Bob Wilder American Wealth Management EP, FP, IN	Richard Beacham Stateside Securities IV	Roger W. Lusby III Frazier & Deeter EP, FP	
Hong Tsun Simon BNY Mellon Wealth Management BK, EP, TS	Daniel Wildermuth Kalos Management FP	Barbara Coats Windham Brannon Financial Group CG, EP, TS	Warren Morrison Birnbrey Minsk Minsk & Perling AC, EP	
Richard Turnley III Raymond James FP	Edward Wile UBS Financial Services EP, FP, TS	<b>Daniel J. Diluzio</b> <b>Di Luzio &amp; Henssler AC, BP, EP</b>	Joel L. Pascaner Stephen M. Berman & Associates AC, CG, EP	
Andrew Tymchuk Morgan Keegan EP, FP, IN	Kerstin Wiles Homrich Berg FP, TX	Dale Dixon The Tax Surgeon BP, EP	<b>Lynn Pasqualetti</b> <b>HLM Financial Group AC, EP</b>	
Patrick Varriano Morgan Stanley Smith Barney EP, FP, IN	Buck Wiley Merrill Lynch/Private Banking & Investment Group BK, CG, TS	Greg Gates Gates Moore of Company AC, BP	Bruce Paulk Clayton Paulk & Associates AC, EP, FP	
Lauren Vogel Wells Fargo Advisors, LLC	Eric Wilson Merrill Lynch CG, EP, TX	<b>Chris Graham</b> <b>The Graham Private Client Law Group BP, EP</b>	Ann Rushing Smith and Raab AC, FP	
			W. Barclay Rushton Rushton & Company BP, EP, FP	

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## DON B. AKRIDGE



### Superior Wealth Management Services Also Demand Uncompromising Performance, Creativity, Top Credentials, Ethics and Value

- Combined CPA, CFP credentials-widely recognized as first and second Most Trusted Financial Advisors (1)
- Fierce dedication to maximum advocacy of client needs and just doing the right thing
- Distinct value proposition integrating comprehensive financial services and the modern CPA firm

*Areas of Focus: Comprehensive financial planning and investment services thoroughly integrated with talents of full service CPA firm*

*Designations: MBA Emory Univ., London Business School, CPA, CFP, U.S. Marine Corps Veteran, Series 66, 7 and Insurance Licenses*

Uncompromising commitments to making a positive difference in peoples' individual and business lives and aggressively advocating for their needs are among the core values held by Don Akridge and his business associates. They are of the highest caliber in skills, ethics and quality commitments. Superior results of Don's advocacy include achieving the passing of a ROTH IRA retirement asset protection law in GA in 2006.

Citadel's distinct value proposition is based on the foundational superiority of the business model in which comprehensive financial planning and investment

services are fully integrated with the modern full service CPA firm. In particular, this model fully takes advantage of the essential incorporation of expert tax and other areas into all aspects of financial planning and investment management. Further, there is the unparalleled level of trust that clients have in the CPA and the depth of the CPA's knowledge of clients' individual and business matters.

Don's strategic CPA, CFP positioning in non-Wall Street wealth management provides reliable access to superior depth and breadth of skills, creativity, and ethics!

### Citadel Financial Planning, Investment Management and CPA Services

175 TownPark Drive, Suite 125 • Kennesaw, GA 30144

Phone: 770-952-6707 • Toll-free: 877-420-8200



## DEAN ANDERSEN • RUSS BRODA



Left to right: Back row: FIVE STAR Wealth Managers Russ Broda and Dean Andersen  
Front row: Penny Brook and Shin Woo

### Dedicated to Understanding Your Financial Concerns We Develop a Customized Investment Strategy to Best Meet Your Needs

- A comprehensive approach to investment planning and wealth management
- Building trust through exceptional client service
- Our team, The Crossroads Group of Wells Fargo Advisors has a combined 75 years industry experience

*Designations: Dean Andersen, CFP(R), Vice President – Investments, Fundamental Choice Portfolio Manager*

*Russ Broda, CFP(R), First Vice President – Investments, PIM Portfolio Manager*

**O**ur mission: The Crossroads Group of Wells Fargo Advisors concentrates on managing client assets through a one-on-one approach. We are dedicated to our clients; we are powerful advocates in their quest for a financially secure future by creating a life-based investment plan. Our key focus is providing a reliable roadmap for our clients at financial crossroads in their lives. We work diligently to understand their core values and integrate

this into an actionable financial strategy. We make sure our clients remain actively involved by conducting reviews to evaluate whether objectives have changed or if our investment approach requires fine-tuning. Our values of commitment and integrity form the basis of our relationship with our clients. The trust we build and our more than 75 years of combined experience allows us to establish a unique strategy for each client and their legacy.



950 East Paces Ferry Road, Suite 1400 • Atlanta, GA 30326  
Dean: (404) 842-9033 • Russ: (404) 842-9221 • Toll-free: (800) 241-1910

[www.TheCrossroadsGroup.wfadv.com](http://www.TheCrossroadsGroup.wfadv.com)

The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the investment advisor's clients. The rating is not indicative of the investment advisor's future performance. See the announcement page for more information on how the Five Star Wealth Managers are chosen.

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## ASHFORD PRIVATE CLIENT GROUP



Left to right Two-Year Winners Matt Lipscomb and Bill Mumpower

### Committed to Providing Exceptional Service and Reliable Financial Information for the Benefit and Well-being of Our Clients

- Guided by a philosophy anchored in superior client service, integrity and dependability
- Comprehensive approach to wealth management and financial services
- More than 50 years combined experience

*Designations: Matthew G. Lipscomb, CLU(R), ChFC(R), Senior Vice President*

*William R. Mumpower, CLU(R), CFP(R), Executive Vice President*

**M**att Lipscomb and Bill Mumpower are impassioned advocates for their clients' financial well-being. They develop comprehensive financial strategies for their clients' to make more informed decisions about all aspects of their money including growth and conservation, the highest and best use of different products, various tax issues and the protection of assets from an array of eroding factors. They seek to find the best solutions for their

clients using a revolutionary web-based system called The Living Balance Sheet(R). Their strategy is based on five ideals that include accumulating wealth efficiently, protecting that wealth, maintaining flexibility and control, optimizing wealth distribution and creating a legacy. Their goal is to help their clients develop and maintain a financial strategy that allows them to live the life they want and achieve their long-term goals.



Two Ravinia Drive, Suite 750 • Atlanta, GA 30346

Phone: (770) 390-2600 • Fax: (770) 390-2610

[www.ashfordadvisors.net](http://www.ashfordadvisors.net)

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## BUCKHEAD INVESTMENT PARTNERS



Left to right: 2009 Winner Mark Buffington, Two-Year Winner Bill Harris, Wilson Rogers, Jason Crowley, Christy Johnson, John Harris, Stephanie Abramski, Forrest Robertson, John Shepherd and David McBride

### Proven Strategies for Wealth and Independence

- Customized investment portfolios and personalized planning
- Unbiased advice with transparency of fees
- Portfolio engineering based on financial science, not speculation

*Areas of Focus: Wealth Management, Private Equity, Financial Planning, 401k Plans*

*Designations: CFP, CFA, MBA and Masters of Computational Finance are on staff*

Buckhead Investment Partners offers a structured asset class approach to public market investing, which is supported by 80 years worth of data and research. Customized portfolios are constructed by targeting the risk factors that are best understood by financial science. The result is a highly efficient, low-cost portfolio designed to capture the long-term performance of global capital markets. Through this process, BIP is relentless in keeping total fees and expenses below the competition. These savings are passed on

to their clients in the form of higher returns and greater long-term wealth creation.

Along with the extensive experience of BIP professionals and the best-in-class approach to publicly-traded markets, the firm also offers unique opportunities for qualified clients to build wealth by investing directly in privately-held companies. This unique combination of public and private market investing is what sets BIP apart from the competition.



3525 Piedmont Road, Building Eight, Suite 515 • Atlanta, GA 30305

Office: (404) 495-5230

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## CARLYLE WEALTH MANAGEMENT TEAM



Left to right: Front row: FIVE STAR Wealth Manager Sharon Budnik and Donna Francis  
Back Row: Alan Anderson, Bruce Love and David Budnik

### The Path Through the Financial Maze

- An independent full-service financial planning and advisory firm
- Comprehensive analysis and customized financial plans tailored to help meet your objectives
- One goal – to help you reach your financial objectives

*Areas of Focus: Retirement and investment planning, 401k rollovers, estate, insurance and taxation planning*

*Designations: Sharon H. Budnik – CFP; Alan A. Anderson – CFP; David E. Budnik – CPA*

With more than 30 years experience working with individuals, families, businesses and corporate executives, Carlyle Wealth Management has helped clients navigate the financial maze by combining personal service with vast and diversified resources to map a course toward a more secure financial future. We begin by helping clients identify true financial goals, then develop tailored solutions designed to help achieve those goals.

Today's complex economy is influenced by volatile global financial markets and ever-changing interest rates and tax laws, all of which offer

excellent opportunities for building and preserving wealth. A shared commitment to excellence bonds our dedicated team of Certified Financial Planner professionals, Certified Public Accountants, FINRA Registered Representatives and licensed insurance professionals who have spent their entire careers in the financial arena. At Carlyle we offer a personal touch with access to a wide range of financial products and services. Between our expertise and our strategic alliances with other specialists, we can address all of your financial needs.

### Carlyle Wealth Management

Five Concourse Parkway, Suite 3000 • Atlanta, GA 30328

Phone: (770) 956-8775 • Toll-free: (800) 446-6369 • Fax: (770) 956-8930

[www.carlylewealthmanagement.com](http://www.carlylewealthmanagement.com) • [cwmgmt@smhcapital.com](mailto:cwmgmt@smhcapital.com)

Securities and Advisory Services offered through SMH Capital Inc., Member FINRA/SIPC.

Non-affiliated Advisory Services offered through Carlyle Wealth Planning, Inc.

FIVE STAR WEALTH MANAGERS



BEST IN CLIENT SATISFACTION

## CRESCENT WEALTH MANAGEMENT TEAM



Left to right: Two-Year Winners Jeff Taylor and Tim Wyronek

### Helping You Build, Manage and Preserve Wealth for Yourself, Your Family and Your Business

- Boutique wealth management firm providing unbiased, highly personalized service and advice
- Personal insurance and risk management analysis and review for business owners, executives and families
- Custom-tailored investment strategies to help meet each client's unique needs and goals

*Areas of Focus: Investment review and benchmark analysis; life insurance review and needs analysis; life settlements; and premium financing*

Crescent Wealth Management is an independent financial services firm focusing solely on the wealth management, accumulation and preservation needs of affluent individuals and families. We have an unwavering commitment to help meet the financial needs of your family and your business.

Our benchmark analysis and portfolio review process has helped our clients in growing and making the best use of their assets. In addition, our policy analyst life insurance review system ensures that the planning you

have previously done or are planning to do remains competitive and current; whether paying estate settlement costs, funding buy-sell agreements, supporting charitable causes or passing wealth on to future generations.

Crescent Wealth Management is your trusted advisor and your partner in helping you achieve the pinnacle of financial success. With knowing you have sound financial planning strategies in place the possibilities literally become limitless.



CRESCENT

WEALTH MANAGEMENT

2859 Paces Ferry Road, Suite 1220 • Atlanta, GA 30339

Toll-free: (800) 520-7106 • Direct: (770) 431-1260

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## THE HENSSLER FINANCIAL GROUP



Left to right: Front row: Two-Year Winner Gene W. Henssler  
Middle row: 2009 Winner Elizabeth Murphy, Two-Year Winner Scott L. Keller, Patricia T. Henssler, Two-Year Winner Jennifer Thomas, and Daniel J. DiLuzio  
Back row: 2009 Winner Scott Martin, Two-Year Winner William G. Lako, Jr., 2009 Winners Connie Holt, Theodore L. Parrish, Bob Norton, 2009 Winners Steve Michael and Karen D. Rinehart

### Commemorating 21 Years of Providing Sound Advice, Wisdom and Judgment in Helping Clients Achieve Financial Security for Life

- Fee-only firm: Incentive is in direct relation to portfolios; as clients perform better, so do we
- Comprehensive wealth management: Financial planning, asset management, taxes, estate and insurance
- Provides unparalleled service, extensive research and the experience needed to meet client goals

*Areas of Focus: Strategic integrated wealth management — Financial Planning, Tax, Insurance, Estate and Retirement.*

*Designations: Staff includes Finance-Ph.D., CFA and CFP; Economics-Ph.D.; Tax-C.P.A., E.A., CVA, ATA and ATP, and Insurance-CIC*

**T**he Henssler Financial Group views your retirement as more than just a number — it is the culmination of your life's work. Just as you are unique, so is the path you take to retirement. Our experts will work with you to develop the most effective plan to meet your specific financial needs and goals.

As a fee-only practice, we integrate financial planning and asset management with our strict investment criteria and the Ten Year Rule so

you should have adequate income for 10 years without having to liquidate assets in a down market. We consider all aspects of wealth including assets in your home, retirement plans and brokerage accounts, as well as taxes, insurance and estate planning.

You are more than a number, and so is your retirement. With our extensive experience and client-focused approach, The Henssler Financial Group will put your retirement within reach.

#### THE HENSSLER FINANCIAL GROUP

3735 Cherokee Street • Kennesaw, GA 30144 | 9000 Central Park West, Suite 250 • Atlanta, GA 30328

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The Henssler Financial Group ("THFG") shall mean and refer to any and all subsidiaries, parent or sister corporations, limited liability companies, partnerships or other entities or entity controlling, controlled by or under common control with said corporations or entities, including, but not limited to G.W. Henssler & Associates, Ltd. and Henssler Asset Management, LLC, both federally registered investment advisers, all d/b/a "The Henssler Financial Group." THFG is not a financial adviser.





## PAUL KIKER JR.



Left to right: Hollie Kiker, Kerri Dowland, FIVE STAR Wealth Manager Paul Kiker Jr, Robyn Platt, Ronnie Cooper and Renee Burnett

### Asking the Right Questions:

- Should I be invested for potential growth or principal protection?
- Am I invested in the right place given the current market conditions?
- Does my advisor have a strategy if conditions change, or is that strategy buy and hope?

*Areas of Focus: Fee Based Active Retirement Income and Asset Management*

Chances are if you have ever sought advice from an investment consultant, you have been presented with a colorful pie chart recommending that you allocated your assets according to a pre-determined model based on your investment objective, age, risk tolerance, and time horizon and then recommended to “just ride it out.” This is called passive investment management. At Kiker Investment Services, we actively manage our client’s portfolios based upon a strategy whereby exposure to different asset classes

varies based on changing economic and market conditions. We believe there is a time to be on offense and a time to be on defense. Our investment strategy is designed to measure, manage and potentially mitigate risk. Most importantly, it adapts to economic and market changes, which helps keep your portfolio from being stuck in one spot while the world moves on and leaves you behind.

### Kiker Investment Services

612 East Church Street • Jasper, GA 30143

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[paul.kiker@lpl.com](mailto:paul.kiker@lpl.com) • [www.kikerwealth.com](http://www.kikerwealth.com)

Securities and Fee Based Services offered through LPL Financial member FINRA/SIPC



## PARAKLETE FINANCIAL TEAM



Left to right: Susan M. Tillery and FIVE STAR Wealth Manager Thomas N. Tillery

### Your Advocate in Financial Services – An Independent and Objective Comprehensive Financial and Investment Planning Firm

- A true fee-only planning firm; there are no products sold and no assets managed
- Our focus is independence and objectivity; we are your financial advocate
- Our mission is to bring financial peace of mind to our clients and facilitate financial stewardship

*Areas of Focus: Comprehensive financial and investment planning, asset protection, estate, business, tax and insurance planning*

*Designations: Susan M. Tillery – CPA/PFS, CFP; Thomas N. Tillery – CFP, CLU, ChFC*

Whether you are wealthy or of moderate means, it takes a plan to achieve your goals. Paraklete Financial coordinates the activities of your investment, legal, tax and insurance advisors to develop an integrated plan, reducing overall costs and ensuring plan implementation. President/CEO Susan Tillery's experience in a multi-family office, providing a broad array of services to affluent families, plus her work in financial services and in a large public accounting firm, provided the framework for Paraklete.

"Paraklete Financial is just what the public needs," said Ms. Tillery.

"Financial planning has been used as a tool to develop product sales and secure assets under management. We are advocating that financial planning become a true profession, a standalone discipline."

"Our focus is on the quality of the deliverables, not the number of clients," said Tom Tillery, VP/CCO. Tom has more than 25 years of financial planning and investment advisory experience, including regulatory oversight and product design.

Paraklete also provides continuing education for attorneys, CPAs and insurance/investment professionals.

### Paraklete Financial, Inc.

1230 Valley Reserve Drive Northwest • Kennesaw, GA 30152

Phone: (678) 290-3930 • Fax: (678) 290-3901

[stillery@parakletefinancial.com](mailto:stillery@parakletefinancial.com) • [www.parakletefinancial.com](http://www.parakletefinancial.com)

Paraklete Financial shall mean and refer to any and all subsidiaries, parent/sister corporations, limited liability companies, partnerships or other entities or entity, controlled by or under common control with said corporations or entities, including, but not limited to Paraklete Financial, Inc., a federally registered investment adviser, Financial Planning Advocate, LLC and Paraklete Education, Inc., all d/b/a "Paraklete Financial." Paraklete Financial is not a financial adviser.



## BILL SPALDING



### Independent, Unbiased Advice Combined With Outstanding Service

- Clients receive an unbiased, objective consultation and portfolios are invested with world-class institutional money managers on a fee-only basis
- Personal approach with each client emphasizing wealth management techniques

*Areas of Focus: Supports Sandy Springs Community and ARTS with volunteer and charity work*

*Designations: President and CEO, Committee Member of Arts Sandy Springs*

**B**ill Spalding has been an investment advisor since 1981 and founded his independent practice in 1996. Honesty and integrity are the core principles of his investment philosophy. High-net-worth clients receive a tax efficient strategy to minimize capital gain taxes with a specific goal of helping you keep more of what your investments earn. Affluent clients are

provided a custom account aggregation website to track current net-worth and e-storage of personal documents and records. In addition, clients receive retirement planning review to calculate the probability of not running out of money during retirement.

### Bill Spalding Financial Services

5784 Lake Forrest Drive, Suite 235 • Atlanta, GA 30328

Phone: (404) 255-3331 • Toll-free: (888) 255-3331

[bill@spaldingfinancial.com](mailto:bill@spaldingfinancial.com) • [www.spaldingfinancial.com](http://www.spaldingfinancial.com)

Securities offered through Securities America, Inc., Member FINRA/SIPC, and advisory services offered through Securities America Advisors, Inc., Bill Spalding, Representative. Spalding Financial Services and Securities America are independent companies.



## TY J. YOUNG



Two-Year  
Winner

### Ty's Mission in Life is to Positively Impact the Lives of Everyone He Meets

- Safer principal
- A reasonable rate of return
- Guaranteed income for life

*Areas of Focus: Safer Investments – Ty works with clients who want safer principal, a reasonable rate of return and guaranteed lifetime income*

Ty believes a basic human need is security, especially as we get older. Ty's goal for his clients is to achieve financial security by helping them keep their retirement savings. His clients only participate in the gains of the stock market, never losses. Ty takes pride in saying that his clients are like his family; that is the Ty J. Young and Associates difference. Ty's approach to investing, combined with his superior client care, has once again elevated his

status as an industry leader. Using his financial acumen, Ty co-founded The Revolution, a training company for elite, safer money advisors. He educates and coaches advisors all over the country to follow his lead; do what's right for the client. Ty is thankful to have the opportunity to make a difference in his client's lives while doing what he loves every day.

### Ty J. Young & Associates

100 Galleria Parkway, Suite 1050 • Atlanta, GA 30339

Phone: (770) 221-9990 • Toll-free: (800) 711-8402

*info@tyjyoung.com • www.tyjyoung.com*

Premiums and any projected returns on Fixed Indexed Annuities (EIAs) will depend on various factors and returns which may not be guaranteed beyond the contract minimum minus withdrawals. Any guarantees of income/principal are backed by the financial strength and claims paying ability of the insurance company. The policy costs and benefits should be considered to determine if the product meets financial and insurance needs. The product may have a surrender period, vesting schedule, caps and participation rates which should also be considered.

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117 Towne Lake Parkway, Suite 200 Woodstock, GA 30188 800-478-2602 tyyoung@woodstockfg.com



FIVE STAR WEALTH MANAGERS



BEST IN CLIENT SATISFACTION



Left to right: FIVE STAR Wealth Manager Gary S. Brochin and Adrienne B. Mandt

## GARY S. BROCHIN

Experience • Integrity • Honesty • Independence

- Disciplined investment approach
- Individually tailored portfolios
- Retirement and trust services

*Areas of Focus: Wealth and Investment Management*

Gary has been a trusted advisor for a select group of families and businesses for more than 25 years.

Dominick & Dominick LLC, a wealth management boutique established in 1870, serves as a solutions provider for all aspects of our clients' investments. We provide a diverse array of capital preservation and wealth creation strategies.

By working with a select group of families and businesses, we ensure that we thoroughly attend to each of our clients' specific needs. With our carefully orchestrated Financial Advisory Process, we can assure you that all financial decisions we make on your behalf will be consistent with your goals.



**Dominick & Dominick LLC**

3343 Peachtree Road NE, Suite 650 • Atlanta, GA 30326

Phone: (404) 504-8430 • Toll-free: (800) 681-9981

[gbrochin@dominickanddominick.com](mailto:gbrochin@dominickanddominick.com)

Dominick & Dominick LLC, member FINRA, SIPC

## CATALYST WEALTH MANAGEMENT

Planning for Tomorrow, Living for Today

- Comprehensive financial planning
- Investment management
- Estate preservation/insurance planning

*Areas of Focus: Utilization of a Macro Economic Financial Planning Model*

*Designations: CFP, CPA, JD*

Catalyst Wealth Management utilizes a Macro Economic Model allowing for a full analysis of all of your money decisions. Their experienced team approach and latest technology allows for a satisfied and fulfilling client experience.



5400 Laurel Springs Parkway, Suite 203 • Suwanee, GA 30024

Phone: (678) 679-6950

[www.catalystwealthmanagement.com](http://www.catalystwealthmanagement.com)

Securities offered through MML Investors Service, Inc. 100 Ashford Center North, Suite 250 Atlanta, Georgia 30338 (770) 551-3400. Catalyst Wealth Management is not an affiliate or subsidiary of MML Investors Services, Inc.



Left to right: Front row: Brian Pierce, Lisa Hamilton, Two-Year Winner Todd Burkhalter, Melissa Ballard, Robin Ralph, Brad Norcewicz  
Back row: Joey Rogers, Chris Pullaro, Steve Mitchell, Brian Stickney

Two-Year Winner

FIVE STAR WEALTH MANAGERS



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Left to right: 2009 Winner Lawrence Waller II  
and Two-Year Winner Lynn Pasqualetti

## HLM FINANCIAL GROUP

### Work-Glove Commitment to White-Glove Service

- Proactive coordinated team effort
- Educating our client is the key to their success
- Fee based financial planning

*Areas of Focus: Accounting, Business Consulting, Financial Planning, Mortgage, Tax Designations: Lynn Pasqualetti, ATA, CTP, EA; Lawrence Waller II, CFS, RIA*

**H**LM Financial Group has seasoned tax and accounting professionals, financial planners and mortgage specialists, allowing the busy professional the opportunity to have all their financial needs serviced under one roof. HLM takes a holistic approach to individual and business finance. Every aspect of the clients' finances are analyzed and reviewed to ensure the client is maximizing their tax savings and capitalizing on the opportunities available. Whether you need help reducing your taxes or saving for retirement, analyzing your business or buying a first or second home, HLM has the professionals to walk you through the process.



125 Clairemont Avenue, Suite 150 • Decatur, GA 30030

Phone: (404) 836-1120

[www.HLMonestop.com](http://www.HLMonestop.com)

Securities and advisory services thru WRP Investments, Inc. member FINRA & SIPC. HLM Financial Group is not affiliated with WRP Investments. GA Res. Lic.#17140, FHA 2641700002.

## TOM KUDARAUSKAS • GUY MOWER

### Your Success is Their Business

- Conservative asset management
- Independent, objective and unbiased advice
- More than 35 years of combined professional experience

*Areas of Focus: Financial and Retirement Planning*

*Designations: CFP, CFS, Managing Partners*

**A**s co-founders of CKM Capital Group, Tom and Guy have built their practice on the principle that their clients' needs always come first. For nearly two decades, this team has helped people build wealth for retirement, protect and preserve their life savings and enabled them to pass on a legacy to their children and grandchildren. They attribute their success to a lot of hard work, a conservative mind-set and a commitment to go the extra mile. Always striving to put their clients in the best possible position, they enjoy working closely with their accountants and attorneys. "We truly respect the value these professionals bring to the table. We use every opportunity to collaborate with them to cover all aspects of the financial picture."

### CKM Capital Group

One Ravinia Drive, Suite 1110 • Atlanta, GA 30346

Phone: (770) 817-5100 • Toll-free: (888) 456-3550

[www.ckmcapital.com](http://www.ckmcapital.com)

Securities and Investment Advisory Services offered through Woodbury Financial Services, Inc. Member FINRA, SIPC, and Registered Investment Adviser. CKM Capital Group and Woodbury Financial Services, Inc. are not affiliated companies.



Left to right: FIVE STAR Wealth Managers  
Guy Mower and Tom Kudarauskas

FIVE STAR WEALTH MANAGERS



BEST IN CLIENT SATISFACTION



## ANTHONY S. MOWRER • JEFFERY J. SCHADE

### Our Number One Priority is You

- Protecting and preserving your investment assets
- True asset allocation of your portfolio to manage risk
- Optimizing taxes and estate issues

*Area of Focus: Investments*

*Designations: CFE*

**A**s financial advisors we work with individuals, families and business owners to help them grow, protect, and preserve wealth from one generation to the next.

Many investors take more risk than is necessary to accomplish their goals. We educate our clients on products, strategies and services so that they can confidently make prudent investment decisions.

Wealth planning is not guesswork. After diagnosing our clients' current financial and personal situations, we develop and implement a customized financial strategy designed to help meet their needs. We use diversification and risk management to help protect their assets. We offer our clients an annual checkup of their portfolio, reviewing their asset modeling, tax strategies, estate issues and performance. We believe that through education and knowledge that most investors can make confident decisions about their financial future.

### Turner Wealth Management

One Buckhead Plaza, 3060 Peachtree Road, 11<sup>th</sup> Floor • Atlanta, GA 30305

Phone: (404) 479-8300 • Toll-free: (888) 578-8763

[www.turnerwealth.com](http://www.turnerwealth.com)

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Left to right: FIVE STAR Wealth Manager Anthony S. Mowrer  
and Jeffery J. Schade

## BLAIR PRITCHETT III

- Providing exceptional financial advice with attentive personal service to investors with substantial assets

*Title: Senior Director of Investments  
Private Client Division*

**B**lair Pritchett grew up in Atlanta, graduating from Westminster School in 1979, then on to Washington and Lee University where he majored in Business and Finance. Further studies include The College for Financial Planning and The American College where he earned the designation Chartered Financial Consultant. He resides in the Vinings area with his wife and two daughters. Interests: Bible study, wife and daughters, client's needs.

Wealth management is more than just investments. The unique team structure of our group affords our clients an intimate personal advisory relationship backed by the support and caliber of one of the most well known and respected names in the financial services industry — Oppenheimer & Co. Inc.

### Oppenheimer & Co. Inc.

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Phone: (404) 262-5384 • Toll-free: (800) 554-1386

[carl.pritchett@opco.com](mailto:carl.pritchett@opco.com)

Oppenheimer & Co. Inc. does not provide legal or tax advice





FIVE STAR WEALTH MANAGERS



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## JOHN J. SCROGGIN

### Counselor at Law

- Comprehensive business planning, formations and exit strategies
- Comprehensive estate planning, drafting and asset protection
- Sales negotiation, drafting, risk and tax minimization

*Areas of Focus: Tax, Business, Estate Planning, Probate and Business Sales*

*Designations: J.D., LL.M. (tax), Accredited Estate Planner*

John J. "Jeff" Scroggin has practiced in Atlanta for more than 30 years. He is a 2009 Georgia "Super-Lawyer" and holds the coveted Martindale Hubbell "AV" professional rating. He is Editor of the *NAEPC Journal of Estate and Tax Planning* and is a prior Co-Editor of *CCH's Journal of Practical Estate Planning*. Jeff has extensive knowledge of charitable planning and has served on more than 20 charitable boards and committees. He is a nationally recognized speaker and author of more than 200 published articles. Jeff has been widely quoted, including in the *Wall Street Journal* (1999, 2004, 2005, 2006, 2007 and 2009), *CNN Headline News*, *National Public Radio*, *Fortune*, *Forbes*, *Kiplinger's*, *Money*, *Smart Money*, *Worth*, *Bloomberg Wealth Management*, *New York Times*, *South China Post*, *LA Times*, *Chicago Tribune*, *Miami Herald*, *AJC* and *Newsday*.

### Scroggin & Company, P.C.

The Brannon House 647 Mimosa Boulevard • Roswell, GA 30075

Phone: (770) 640-1101 • Toll-free: (877) 645-2214

[John@scrogginlaw.com](mailto:John@scrogginlaw.com) • [www.scrogginlaw.com](http://www.scrogginlaw.com)

## DIANE L. TAYLOR • KIMBERLY S. EVANS

### Thank You to Our Valued Clients

- Planning personalized for your goals and risk tolerance
- Investment strategies customized to your priorities
- Implementation of both to help keep you on track

*Designations: Certified Financial Planner (TM) Professionals*

*Titles: Senior Vice Presidents-Investments*

Thank you to our clients for awarding our investment management practices best in client satisfaction. We are honored that you recognize our value in your lives and appreciate your continued trust and confidence.

— Kimberly and Diane



Diane: (770) 394-5310  
Dunwoody, GA 30338

[wfadvisors.com/diane.taylor](http://wfadvisors.com/diane.taylor)

Kimberly: (404) 869-2758  
Atlanta, GA 30305

[wfadvisors.com/kimberly.evans](http://wfadvisors.com/kimberly.evans)

*The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the investment advisor's clients. The rating is not indicative of the investment advisor's future performance. See the announcement page for more information on how the Five Star Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.*

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Left to right: FIVE STAR Wealth Managers  
Diane L. Taylor and Kimberly S. Evans



FIVE STAR WEALTH MANAGERS



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## KAREN C. VINING

### Solid Financial Planning — Sound Financial Future

- Customized financial strategies
- Long-term client focused relationships
- More than 20 years of financial planning experience

*Areas of Focus: Wealth Management, Asset Protection and Retirement Strategies*

*Designations: Registered Financial Consultant, Securities/Insurance Licenses*

**A**t Vining Financial Services, Inc., personalized solutions are designed to fit each client's unique goals. Planning your financial future can be a daunting responsibility. By offering guidance and education, Karen is committed to helping her clients make informed decisions that will solidify their financial future.

Karen has an exceptional practice that includes a qualified team committed to delivering outstanding service and dedicated to helping clients attain their goals.



4994 Lower Roswell Road, Suite 4 • Marietta, GA 30068

Phone: (770) 971-9141 • Toll-free: (800) 326-3870

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FIVE STAR WEALTH MANAGERS



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LAMAR  
BARDEN



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[www.peachtreeplanning.com](http://www.peachtreeplanning.com)

What You Don't Know Can Hurt You

- Comprehensive team approach to wealth management and financial services for individuals and business owners

Designations: MSFS, CSA

It is time to meet with a trusted financial representative to review your overall finances and determine if we can help to possibly maximize your income, minimize your taxes and determine whether your current portfolio is properly positioned.

Investments are offered through Park Avenue Securities LLC (PAS), 5040 Roswell Road, Atlanta, Georgia, 30342, 1-800-366-0839. PAS is an indirect wholly owned subsidiary of The Guardian Life Insurance Company of America (Guardian), New York, NY. PAS and the representatives do not provide legal or tax advice or services. Peachtree Planning Corporation is not an affiliate or subsidiary of PAS. PAS is a member of FINRA, SIPC.



WYLIE  
BURGESS

Bob Gordon & Company  
1868-D Independence Sq.  
Atlanta, GA 30338  
Phone: (770) 551-0075  
[www.bgcinvest.com](http://www.bgcinvest.com)

Professional Advice, Personal Service

- Unbiased advice tailored to your long-term goals
- The convenience of ongoing investment management
- The excellent personal service you deserve

Areas of Focus: Portfolio Management, Retirement and Income Planning  
Designations: President and Chief Investment Officer, CFA

Bob Gordon & Company provides professional investment management and personal service to high-net-worth individuals and trusts. We work with you to design a diversified investment portfolio that is tailored to your specific long-term financial goals and risk tolerance. Wylie has been helping his clients grow and preserve their wealth for more than 18 years. He is committed to offering his clients personalized investment advice, delivered with a high level of client service and dedication.

Founded in 1970, Bob Gordon & Company is an independent Registered Investment Advisor.



KATE  
EMLING



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Atlanta, GA 30342  
Phone: (678) 244-2600  
[kemling@householdergroup.com](mailto:kemling@householdergroup.com)

Setting Goals, Exceeding Expectations

- Partnership approach to client relationships
- Comprehensive financial advisory services
- Independent, objective, personalized guidance

Specialization: Estate and Retirement Planning; Investment Advisory

Kate Emling brings together decades of financial market experience, an analytical mind and a good ear for listening. She believes educating her clients is the key to successful financial planning. Her career has focused on the importance of developing long-term relationships, partnering with clients to understand and plan for their financial well being and striving to exceed their expectations.

Securities offered through Securities Service Network, Inc., member FINRA/SIPC. Investment advisory services offered through Householder Group Financial Advisors, L.L.C., a registered investment adviser not affiliated with Securities Service Network, Inc.



TIMOTHY P.  
GELINAS

Senior Financial  
Planning, LLC

2551 Roswell Rd. NE, Ste. 209  
Marietta, GA 30062  
Phone: (770) 973-5220  
Fax: (770) 973-5171

Comprehensive Wealth Management

- Retirement and investment planning
- Wealth protection and preservation
- Comprehensive estate organization

Areas of Focus: Wealth Protection and Retirement Planning

Designations: CSA (Certified Senior Advisor), Investment Advisor Representative

With more than 11 years of experience, Tim has been meeting the needs of his clients. He specializes in helping retirees preserve and protect their assets. His personalized service has won him numerous awards and he has been recognized nationally. Tim has been awarded the Million Dollar Round Table Top of the Table three times and Court of the Table five times.

Securities products offered through The Leaders Group, Inc., Member FINRA/SIPC

FIVE STAR WEALTH MANAGERS



BEST IN CLIENT SATISFACTION



CLINT  
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[www.clintgharib.com](http://www.clintgharib.com)

As Your Advisor I Work for You

- Maximizing your retirement savings and income
- Protecting and managing your investments
- Optimizing your estate and tax efficiency

*Areas of Focus: Certified Financial Educator (C.F.E.), VP – Investments*  
*Designations: Director of Managed Products and Director of Insurance*

Many investors take more risk than is necessary to accomplish their goals. I educate clients on products, strategies and services so that they can confidently make prudent investment decisions. I believe risk management is paramount to enhance overall returns. My job is to make my clients money, but it is equally as important to try to keep them from losing it.

Member SIPC.



MAKO  
GOSS



AMERICAN WEALTH MANAGEMENT  
1050 Crown Pointe Pkwy, Ste. 1230  
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Where You are Number One. Not 5,001.

- Personalized investment management
- 401(k) strategic planning
- Post-divorce planning and budgeting

*Areas of Focus: Fee-Only Investment Management, Planning, Budgeting*  
*Designations: Certified Divorce Financial Analyst*

Wealth management for the goal-focused investor. Mako provides independent, objective, client-oriented wealth management and financial planning services. She works with the savvy, successful, high-net-worth investor who doesn't want to go it alone in today's complex and volatile market.

American Wealth Management, Inc. is a SEC Registered Investment Advisor. Member FINRA & SIPC.



RICHARD  
GRODZICKI

UBS Financial Services  
3060 Peachtree Rd., Ste. 1200  
Atlanta, GA 30305  
Phone: (404) 848-2601  
Cell: (404) 538-8689  
[Richard.Grodzicki@ubs.com](mailto:Richard.Grodzicki@ubs.com)  
[www.ubs.com/financialservicesinc](http://www.ubs.com/financialservicesinc)

- 35 years financial services experience
- Has always been a "customer's man"
- Clients become friends

*Areas of Focus: Individuals, Private Practices and Small Businesses*  
*Title: Senior Vice President, Portfolio Manager Program*

All client are individuals and their needs and personalities are taken into consideration as the client and I structure their investment program. Each portfolio is specific to that client.

Neither UBS Financial Service Inc. nor any of its employees provide legal or tax advice. You should consult with your personal legal or tax advisor regarding your personal circumstances. Depending on your needs, we can help implement your retirement strategies through both our brokerage and advisory capabilities.



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HINTON

Resource Asset  
Management  
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Atlanta, GA 30305  
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Offering Financial Integrity

- Solution driven
- Relationship – centered
- Time tested innovation

*Designations: Executive Vice President and Chief Money Manager*

D. Dennis Hinton brings a wealth of business and investment experience. His work as a financial analyst for 11 Alive NBC and other media outlets provide him with access to timely market research. The financial professionals at Resource Asset Management have been together for more than 15 years helping clients define and reach their financial goals. His mission is to earn the confidence and trust of his client's one client at a time.

FIVE STAR WEALTH MANAGERS



BEST IN CLIENT SATISFACTION



MARK  
KANTOR

MorganStanley  
SmithBarney

2400 Lakeview Pkwy., Ste. 300  
Alpharetta, GA 30022  
Phone: (770) 643-7619  
mark.d.kantor@smithbarney.com

Two-Year  
Winner

Custom Retirement Solutions

- Customized retirement planning
- Client-focused services
- Investment management

Specializations: Portfolio Mgmt., Wealth Mgmt. and Retirement Planning  
Designations: Second VP – Wealth Mgmt.; Wealth Portfolio Manager

With more than 27 years of experience as a financial advisor, Mark is committed to giving his clients a customized approach to building, managing, preserving and distributing wealth. His aim is to build life-long relationships with clients, maintaining the highest standards of quality, professionalism and integrity.

Please call or email for a free consultation.

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WARREN T.  
KENNETT

Wells Fargo Advisors, LLC

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Atlanta GA 30339

Office: (404) 348-1492  
Cell: (404) 245-4475

warren.kennett@wachoviassec.com

Two-Year  
Winner

Thank You to My Clients

- Warren brings more than 20 years experience to his clients
- Thank you to my clients for your trust and support

Title: Financial Advisor, Senior Vice President – Investments

The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the investment advisor's clients. The rating is not indicative of the investment advisor's future performance. See the announcement page for more information on how the Five Star Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.

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RICH  
LOMBARDI

Integrated Wealth Strategies

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Atlanta, GA 30338

Phone: (770) 353-6303

richlombardi@wealthstrat.com  
www.WealthStrat.com

Two-Year  
Winner

Sound Advice, Comprehensive Solutions

- Providing independent and insightful advice
- Personal attention, communication and client education
- Comprehensive wealth management and planning

Specializations: Wealth and Risk Management, Income and Distribution  
Planning, Transition Planning, Retirement Planning, Portfolio Management

Rich's commitment is to provide his clients independent, personalized advice, guiding them towards making well informed decisions, thus helping them achieve their long-term objectives. Our goal is to provide exceptional wealth and risk management, sound financial and retirement planning, friendly and responsive client service with uncompromised dedication to our clients.

Securities through Securities America Inc., a registered broker/dealer, member FINRA/ SIPC. Advisory services through Securities America Advisors, Inc., Representative, Integrated Wealth Strategies and Securities America Inc. are unaffiliated.



MICHAEL J.  
MOCK



3475 Piedmont Rd., Ste. 900  
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Office: (678) 226-7545

mmock@brandbanking.com  
www.thebrandbank.com

Financial Solutions for Life

- Providing client-centered financial solutions
- Building financial security and happiness
- Simplifying the complicated

Areas of Focus: Comprehensive Financial Planning  
Designation: Vice President

Michael plays an integral part in his client's wealth management strategy. He knows the key to success is in building relationships with people, earning their trust and proving to his clients that he has their best interest at heart. Michael leads through close personal relationships and comprehensive industry leading capabilities. He continues to build on the tradition of innovation and outstanding service.





## MARTHA FORTUNE O'BRIEN

Edward Jones

5605 Glenridge Dr., Ste. 1070  
Sandy Springs, GA 30342

Phone: (404) 943-0561  
Cell: (404) 213-4660

Martha.O'Brien@EdwardJones.com  
www.EdwardJones.com

### Listening Builds Trusting Relationships

- Financial reviews for all life stages
- Retirement planning to meet your individual goals
- Coordinates estate considerations with CPA/attorney

*Areas of Focus: Investments, retirement planning, estate considerations*

Martha O'Brien takes her time working face-to-face with clients to really understand what matters most to them and to their families. She believes in the far reaching effects of small actions and encourages clients to take steps that create positive feelings about money. In this frame of mind, she works to help customize a long-term investment portfolio that supports a strategy to achieve a comfortable financial future. She takes her satisfaction from offering opportunities for each client to build a more secure reality.

Member SIPC



## CINDI PORTER

NAVIGATOR  
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Phone: (678) 714-7611

cindi@navigatorfps.com

### There is No Substitute for Expert Advice

- Providing customized portfolio design
- Adjusting for changing economic conditions
- Personalized service and attention to every detail

*Areas of Focus: Capital Growth and Wealth Preservation*

When Cindi advised her clients to move out of the stock market in July 2007, she provided the missing links that are absent in so many financial plans – defense, the ability to anticipate the future and the willingness to make the tough calls.

Cindi listens to her clients and advises them based on their individual circumstances, personal goals, investment temperament and tax considerations as well as the current economic environment.

Securities offered through Dempsey Lord Smith, LLC member FINRA/SIPC.



## MICHAEL SMITH

RTD Financial Advisors

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Atlanta, GA 30346

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michael@rtdfinancial.com  
www.rtdfinancial.com

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*Areas of Focus: Life Transitions; Income for life; Sudden money  
Designation: Certified Financial Planner professional since 1989*

Michael isn't interested in having the most clients; he simply wants to make the most meaningful impact on those he serves. In his office is a giant bulletin board with photos of his clients, their children, grandchildren, pets, vacations and even their "dream boards." This is what he is passionate about and works toward; his clients enjoying the freedom of living as fully as possible. His motto; "not everything that counts can be counted and not everything that can be counted, counts." His focus is always on what counts.



## R. SCOTT SMITH

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Office: (770) 754-6359

r.scott.smith@morganstanley.com

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- Highly experienced team approach
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*Focus: Wealth and Investment Mgmt., Retirement and Estate Planning  
Designations: Portfolio Manager, Vice President, Wealth Advisor*

My team's mission is straightforward: to create, manage and preserve your wealth. We develop a clear understanding of your unique goals to develop a comprehensive, tax-efficient wealth management plan for you and your family. Ongoing communication, education and exceptional client service are hallmarks of our practice. You place an immeasurable amount of trust in a financial advisor and we treat our privileged role as steward of your assets with great seriousness and care.

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## SUSAN LUNDEEN SMITH

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Atlanta, GA 30305

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[www.fa.smithbarney.com/susansmith](http://www.fa.smithbarney.com/susansmith)

### 37 Years of Financial Experience

- Personalized investment and wealth management services
- Unbiased advice with fee transparency
- Accessible local expertise, with global resources

*Areas of Focus: Family Wealth Management, Non Profits, Retirement Plans  
Designations: Vice President and Financial Planning Specialist*

Investors increasingly face a myriad of investment choices. I am committed to advising my clients (rather than merely providing them with information) and to helping them make sense of the choices they face through the three stages of wealth: growing, preserving and transferring. I endeavor to earn my clients' trust, thereby building long lasting relationships with them and with their families.

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## DOUGLAS SMITH

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2850 Canton Hwy.  
Marietta, GA 30066

Office: (770) 420-0113

[Douglas.Smith@wellsfargoadvisors.com](mailto:Douglas.Smith@wellsfargoadvisors.com)

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- Committed
- Understanding
- Great integrity

It has been my pleasure helping my clients achieve their financial goals and freedoms over the past 20 plus years.

*The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the investment advisor's clients. The rating is not indicative of the investment advisor's future performance. See the announcement page for more information on how the Five Star Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company.*



## CHARLES ANDERSON

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*Sr. Vice President, Wealth Advisor*

"My goal is to offer the most comprehensive investment advice based solely on my clients' specific investment needs."

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## PETER E. CASEY

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Ste. 200

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*Areas of Focus: Estate Planning and Elder Law*

Our goal is to provide each client with an estate plan that meets their unique goals and objectives, which will serve them well during life and continue after death to serve their families.



## EDWARD J. CIMINO

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Atlanta, GA 30341

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Cell: (404) 312-3677

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[www.nationalbenefit.RetireRx.com](http://www.nationalbenefit.RetireRx.com)

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Ed has a simple philosophy: "The more you do for others, the more you do for you." Ed's clients find it rewarding to do business with someone who wants to work with them and adheres to high standards of professional conduct.



## KRISTA CLARKE

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agee**

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[www.sterneagee.com](http://www.sterneagee.com)

*Title: Vice President*

Krista's solution for successful retirement planning is built on comprehensive assessment and a commitment to ongoing reviews of the complete investment picture.

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**JERYL W. CLEGG**

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*Areas of Focus: Financial Planning, Retirement Planning*  
*Designation: CRPC, CMFC*

**J**erry specializes in working with people who have retired or are planning to retire who want to create investment plans designed to help protect their principal and insure their money will last their lifetime.



**JACK COHEN**

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*Area of Focus: Retirement Planning, Wealth Management*  
*Designations: CFP, ChFC, CIMA*

**J**ack and his team thank their clients for the privilege of helping them meet their life goals.



**JAMES COMPTON**

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*Sr. Vice President, Financial Advisor*

**R**alph has been working with clients for more than 40 years, offering advice, solutions and service. His goal is to offer his services based solely on client needs.

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*Bob Cook, CFP, Financial Advisor*

**B**ob has 17 years of experience serving in the North Metro area. He offers investment advisory solutions, insurance and estate planning.

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**MARK FRERET**

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*Area of Focus: Comprehensive financial planning*  
*Designation: CFP*

**I**f you're interested in a financial plan designed for *you* while remaining in control of your finances, contact Mark. Mark is an independent, fee-only financial planner. He provides comprehensive plans tailored specifically to each client's situation.



**CHRISTOPHER GRAHAM**

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**C**hristopher Graham's practice focuses on family wealth planning, including creative tax minimization, asset protection, business succession and business structuring strategies for high-net-worth individuals and their closely held businesses.



**RICK HENDERSON**

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**S**pecialization: Customized wealth management and investment strategies, Retirement income planning.

Designations: CPA, CFP(R) professional.

Securities offered through Commonwealth Financial Network, Inc. Member FINRA/SIPC



**DONNA W. HOWELL**

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*Specialties: Investment Advising, Tax/Retirement Planning, Portfolio Analysis*  
*Designations: JD, LL.M. in Tax, AIMC*

**D**onna's experience in physician wealth management includes addressing the AMA, AAO and others on alternative investments and retirement planning.

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Accountant

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**D**o you have a clear vision for your financial future and a plan for getting there? Chuck and his team can provide the personal service and experienced guidance you need to create a financial roadmap that reflects your values and goals.



**KAREN J.  
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Areas of Focus: Wealth mgmt. and  
income distribution  
Designations: CFP, CLU, ChFC, MSFS,

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Areas of Focus: Financial  
Planning, Risk Management  
and Retirement Planning

**S**cott Levy works with his clients to develop comprehensive financial plans tailored to each individual's goals and concerns. He provides insight and advice with integrity and a genuine concern for your unique financial situation.



**RANDALL P.  
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Senior Vice President – Investment  
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Retirement Planning Counselor

**R**andall is committed to assisting his clients with a customized approach to managing, preserving and distributing their wealth.

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Designation: CLU

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*Private Wealth Management and  
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Our team is committed to providing trustworthy, competent and responsible advice to our clients. This focus builds long-lasting stable relationships that help our clients successfully achieve their goals.



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*Retirement/Income Planning, IRAs,  
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Designations: Financial Advisor, AAMS*

I strive to build long-term relationships to assist clients in reaching their financial goals while providing exceptional personalized service. Starting with a complimentary consultation, I meet face-to-face to discuss key steps to create your financial strategy. Call today for a free review.



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*Lauren Vogel has been chosen as a "Five Star Best in Client Satisfaction Wealth Manager." This prestigious award recognizes Lauren as part of the Five Star Professional group.*

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Jesse's passion is to guide his clients in making sound financial decisions by developing strong relationships and using a comprehensive financial planning approach.

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